

# 许丽丽简历

## 联系方式:

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## 招生信息:

博士(学术)招生方向: 管理科学与工程

博士招生专业: 经济系统分析与管理

硕士(学术)招生方向: 应用经济学

硕士招生专业: 产业经济学

## 一、个人信息

### 教育经历:

2011.09-2015.08 韩国国立全南大学 经济学博士

2008.09-2010.08 韩国国立全南大学 经济学硕士

2002.09-2006.06 华中师范大学 管理学学士

### 学术经历及职务:

2016.09-至今 大连海事大学讲师、副教授、教授

2015.09-2016.08 韩国国立全南大学博士后

2016.02-2016.03 日本东京大学访问

2014.05-2014.06 复旦大学访问

### 担任匿名审稿工作:

*Journal of Management Studies, Economic Modelling, Canadian Journal of Economics, International Review of Economics and Finance, Journal of Economics, Australian Economic Papers, Japan and the World Economy, etc.*

## 二、教学与科研

近期教学经历: 微观经济学(本科)、中级宏微观经济学(硕士)、经济系统分析(博士)

研究领域: 产业经济、环境经济与发展经济

研究方向: 环境规制、产业政策、企业社会责任等

### 主要科研成果:

#### ■ 期刊论文

[1] 2026, Emission tax policies in a port-shipping supply chain competition with environmental corporate social responsibility *Research in Transportation Economics* (SSCI 检索)

- [2] **2026**, Mutual outsourcing in a vertically related market with strategic environmental corporate social responsibility *Manchester School* (SSCI 检索)
- [3] **2026**, Endogenous competition strategies with R&D in a vertically mixed market under forward passive ownership *Manchester School* (SSCI 检索)
- [4] **2026**, 数字经济时代的企业数据素养：概念内涵、测度分析与提升路径 湖北经济学院学报（人文社会科学版）
- [5] **2026**, The impact of ESG rating divergence on stock price delays: Evidence from China *Asia-Pacific Journal of Accounting & Economics* (SSCI 检索)
- [6] **2026**, Consumers' environmental awareness and firms' managerial delegation contracts under emission tax policies *Scottish Journal of Political Economy* (SSCI 检索)
- [7] **2026**, Urban transport infrastructure and corporate environmental, social, and governance performance: Evidence from China *Applied Economics Letters* (SSCI 检索)
- [8] **2025**, Environmental corporate social responsibility and port privatization in a mixed port supply chain competition *Research in Transportation Economics* (SSCI 检索)
- [9] **2025**, Strategic corporate social responsibility and tariff policies: The timing of commitments and policy implications *Bulletin of Economic Research* (SSCI 检索)
- [10] **2025**, Taxing emissions and privatizing a state-owned enterprise under endogenous price-quantity competition *International Journal of Economic Theory* (SSCI 检索)
- [11] **2025**, 技术封锁与颠覆式创新：基于“过犹不及”视角的研究——以比亚迪发展路径为案例 当代经济管理 (CSSCI 扩检索)
- [12] **2025**, Horizontal port integrations under mixed ownership *Research in Transportation Economics* (SSCI 检索)
- [13] **2025**, 供应网络位置与企业 ESG 表现——基于上下游关联的视角 软科学 (CSSCI 检索)
- [14] **2025**, 结构洞位置何时有利于企业创新？——竞合网络与非竞合网络的比较研究 管理评论 (CSSCI 检索)
- [15] **2025**, Endogenous choice of environmental corporate social responsibility in a mixed duopoly *International Journal of Economic Theory* (SSCI 检索)
- [16] **2024**, Double managerial delegation contracts with relative profit performance and environmental performance incentives under emission taxes *Managerial and Decision Economics* (SSCI 检索)
- [17] **2024**, Consumers' environmental awareness and endogenous managerial delegation choice between sales and environmental incentives *Energy Economics* (SSCI 检索)
- [18] **2024**, Effects of partial passive ownership on the desirable production timing of the public utility in a mixed upstream market *Managerial and Decision Economics* (SSCI 检索)

- [19]2024, Endogenous competition with an integrated public utility firm under an output subsidy policy *Utilities Policy* (SSCI 检索)
- [20]2024, Port supply chain integration under mixed ownership *Transport Policy* (SSCI 检索)
- [21]2024, Effects of partial passive ownership in a successive endogenous timing game with R&D spillovers *Bulletin of Economic Research* (SSCI 检索)
- [22]2023, Post privatization of high-speed rail with corporate social responsibility (CSR) in an international transportation market: Mandatory CSR versus voluntary CSR *Economics of Transportation* (SSCI检索)
- [23]2023, Cournot-Bertrand comparisons under double managerial delegation contracts with sales and environmental incentives *Managerial and Decision Economics* (SSCI 检索)
- [24]2023, Strategic corporate social responsibility and partial privatization policy with foreign penetration *Japanese Economic Review* (SSCI 检索)
- [25]2023, Competition mode and common ownership in a mixed oligopoly *Manchester School* (SSCI 检索)
- [26]2023, “前港后厂” 供应链“链-链”联盟利益分配研究 系统管理学报 (CSSCI 检索)
- [27]2023, 政府补贴、企业创新模式与出口复杂度 科研管理 (CSSCI 检索)
- [28]2022, Emission tax and strategic environmental corporate social responsibility in a Cournot–Bertrand comparison *Energy Economics* (SSCI 检索)
- [29]2022, Welfare-reducing price competition under relative performance delegation with convex costs *Economic Modelling* (SSCI 检索)
- [30]2022, High-speed rail network structural characteristics and evolution in China *Mathematics* (SCI 检索),
- [31]2022, Non-cooperative and cooperative environmental corporate social responsibility with emission taxes *Managerial and Decision Economics* (SSCI 检索)
- [32]2022, Corporate profit tax and strategic corporate social responsibility under foreign acquisition *B.E. Journal of Theoretical Economics* (SSCI 检索)
- [33]2021, Strategic corporate social responsibility by a multinational firm and international privatization policies *Journal of Economic Theory and Econometrics* (KCI 检索)
- [34]2020, Strategic corporate social responsibility of high-speed rail in China *China Economic Review* (SSCI 检索)
- [35]2020, Global emission taxes and port privatization policies under international competition *Sustainability* (SSCI 检索)
- [36]2019, Tariffs and privatization policy in a bilateral trade with corporate social

responsibility *Economic Modelling* (SSCI 检索)

- [37]2018, Corporate social responsibility and environmental taxation with endogenous entry *Hitotsubashi Journal of Economics* (SSCI 检索)
- [38]2018, Environmental policies with excess burden of taxation in free-entry mixed markets *International Review of Economics and Finance*, Leading Article (SSCI 检索)
- [39]2018, Endogenous timing in private and mixed duopolies with emission taxes *Journal of Economics* (SSCI 检索)
- [40]2017, Ex-ante versus ex-post privatization policies with foreign penetration in free-entry mixed markets *International Review of Economics & Finance*, Leading Article, (SSCI 检索)
- [41]2016, Emission tax, environment and welfare in mixed duopoly markets: comparing quantity and price competitions *Environmental and Resource Economics Review* (KCI 检索)
- [42]2016, Emission tax and optimal privatization in Cournot–Bertrand comparison *Economic Modelling* (SSCI 检索)
- [43]2016, Free trade agreements and privatization policy with an excess burden of taxation *Japan and the World Economy* (SSCI 检索)
- [44]2015, Strategic privatization with tariffs and environmental taxes in an international mixed duopoly *Hitotsubashi Journal of Economics* (SSCI 检索)
- [45]2013, Competitive privatization and tariff policies in an international mixed duopoly *Manchester School* (SSCI 检索)

#### ■ 学术专著

- [1] 2018, Strategic trade and privatization policies in bilateral mixed markets. *Firms' Strategic Decisions: Theoretical and Empirical Findings*

#### ■ 科研项目

- [1] 中国高铁运营风险评估、市场化改革路径及配套政策研究，国家社会科学基金面上项目，主持
- [2] 中国区域港口资源整合策略研究，中国科协 2022 年度科技智库青年人才计划，主持
- [3] “一带一路”倡议下中国跨国公司社会责任提升路径与培育政策研究，科学技术部一带一路创新人才交流外国专家项目，主持
- [4] 基于国际经验的中国高铁市场化研究，中国博士后科学基金面上项目，主持
- [5] 辽宁省制造业重点产业链高质量发展研究，辽宁省高校新型智库联盟智库专项重点项目，主持
- [6] “双碳”背景下港航供应链协同碳减排驱动机制与实现路径研究，辽宁省社会科

学规划基金重点项目，主持

[7] 辽宁省装备制造业协同创新路径研究，2025年辽宁省经济社会发展研究课题，主持

[8] 提升我省港口协同发展能力研究，辽宁省辽宁高校新型智库联盟智库专项，主持

#### ■ 获奖荣誉

[1] 大连市本地全职高层次人才

[2] 大连海事大学懿海工程、星海工程人才

[3] 大连海事大学研究生优质教学奖（2022-2023 学年）

[4] 大连海事大学优秀学术成果奖（2020-2022 年度）

[5] 大连海事大学优秀研究生指导教师（2022 年、2025 年）

[6] 辽宁省优秀硕士学位论文指导教师（2024 年）

[7] 辽宁省普通高等学校优秀毕业生指导教师（2021 届、2026 届）

[8] 大连海事大学优秀毕业生指导教师（2023-2026 届）

[9] 大连海事大学硕士研究生国家奖学金获得者指导教师（2020-2025 年）

[10] 大连海事大学优秀硕士学位论文指导教师（2024 年）

[11] 大连海事大学本科优秀毕业论文指导教师（2019-2020 届）

# LILI XU

## Curriculum Vitae

### Present Position

Professor, Department of Economics and Trade, School of Maritime Economics and Management, Dalian Maritime University, China

### Personal Information

Address: 1 Linghai Road, Dalian, 116026, China

Citizenship: China

Email: [lilixu@dlmu.edu.cn](mailto:lilixu@dlmu.edu.cn)

<https://www.researchgate.net/profile/Lili-Xu-2>

### Research Fields

Industrial Organization, Environmental Economics, Public Economics

### Research Interests

Privatization, Corporate Social Responsibility, Industrial Policies, International Trade, Government Regulation, Environmental Policies

### Education

PhD, Graduate School of Economics, Chonnam National University, Republic of Korea,  
August 2015 (Economics)

MA, Graduate School of Economics, Chonnam National University, Republic of Korea,  
August 2010 (Economics)

BA, Department of Human Resource Management, Central China Normal University,  
China, June 2006 (Management)

### Work Experience

Dalian Maritime University, China (September 2016 - current)

Post-doctor of BK21Plus, Chonnam National University, Republic of Korea (September  
2015 - August 2016)

### Publications

#### I. Dissertation

Doctoral dissertation Essays on Bilateral Trade, Privatization and Industrial Policies.  
(2015, Chonnam National University, Republic of Korea)

## 2. Articles

- (1). Emission tax policies in a port-shipping supply chain competition with environmental corporate social responsibility (co-authored with Yutong Yin and Sang-Ho Lee)  
*Research in Transportation Economics* 2026
- (2). Mutual outsourcing in a vertically related market with strategic environmental corporate social responsibility (co-authored with Xinying Fan and Sang-Ho Lee)  
*Manchester School* 2026
- (3). Endogenous competition strategies with R&D in a vertically mixed market under forward passive ownership (co-authored with Yidan Zhang and Sang-Ho Lee)  
*Manchester School* 2026
- (4). Corporate data literacy in the digital economy era (co-authored with Bo Ren, Yupei Su, and Zhixiong Liu) *Journal of Hubei University of Economics (In Chinese)* 2026
- (5). The impact of ESG rating divergence on stock price delays: Evidence from China (co-authored with Panpan Feng and Jiangwu Pang) *Asia-Pacific Journal of Accounting & Economics* 2025.
- (6). Consumers' environmental awareness and firms' managerial delegation contracts under emission tax policies (co-authored with Yutong Yin and Sang-Ho Lee) *Scottish Journal of Political Economy* 2026
- (7). Urban transport infrastructure and corporate environmental, social, and governance performance: Evidence from China (co-authored with Bo Ren, Jie Zhang, and Fanrui Su) *Applied Economics Letters* 2026
- (8). Environmental corporate social responsibility and port privatization in a mixed port supply chain competition (co-authored with Yutong Yin and Sang-Ho Lee) *Research in Transportation Economics* 2025
- (9). Strategic corporate social responsibility and tariff policies: The timing of commitments and policy implications (co-authored with Fanrui Su and Sang-Ho Lee) *Bulletin of Economic Research* 2025.
- (10). Taxing emissions and privatizing a state-owned enterprise under endogenous price-quantity competition (co-authored with Qinghong Zhao and Sang-Ho Lee) *International Journal of Economic Theory* 2025.
- (11). Technology blockade and disruptive innovation: A study from the perspective of "Too

- much-of-a-good-ting” —Take BYD’s development path as an example (co-authored with Bo Ren and Na Zhang) *Contemporary Economic Management (In Chinese)* 2025.
- (12). Horizontal port integrations under mixed ownership (co-authored with Yutong Yin and Sang-Ho Lee) *Research in Transportation Economics* 2025.
- (13). Supply network location and enterprise’s ESG performance: Based on the perspective of upstream and downstream linkages (co-authored with Jie Zhang, Fanrui Su, and Na Zhang) *Soft Science (In Chinese)* 2025.
- (14). When is the structural hole conducive to firms’ innovation?——A comparative study of cooperation network and non-cooperation network (co-authored with Na Zhang, Min Xu, Yanran Bi, and Wenyue Gao) *Management Review (In Chinese)* 2025.
- (15). Endogenous choice of environmental corporate social responsibility in a mixed duopoly (co-authored with Qinghong Zhao and Sang-Ho Lee) *International Journal of Economic Theory* 2025.
- (16). Double managerial delegation contracts with relative profit performance and environmental performance incentives under emission taxes (co-authored with Yutong Yin and Sang-Ho Lee). *Managerial and Decision Economics* 2024.
- (17). Consumers’ environmental awareness and endogenous managerial delegation choice between sales and environmental incentives (co-authored with Sang-Ho Lee) *Energy Economics* 2024.
- (18). Effects of partial passive ownership on the desirable production timing of the public utility in a mixed upstream market (co-authored with Yidan Zhang and Sang-Ho Lee). *Managerial and Decision Economics* 2024.
- (19). Endogenous competition with an integrated public utility firm under an output subsidy policy (co-authored with Sang-Ho Lee). *Utilities Policy* 2024.
- (20). Port supply chain integration under mixed ownership (co-authored with Sang-Ho Lee). *Transport Policy* 2024.
- (21). Effects of partial passive ownership in a successive endogenous timing game with R&D spillovers (co-authored with Yidan Zhang and Sang-Ho Lee). *Bulletin of Economic Research* 2024.
- (22). Post privatization of high-speed rail with corporate social responsibility (CSR) in an international transportation market: Mandatory CSR versus voluntary CSR (co-authored with Qinghong Zhao, Yuyan Chen, and Sang-Ho Lee). *Economics of Transportation* 2023.
- (23). Cournot-Bertrand comparisons under double managerial delegation contracts with

- sales and environmental incentives (co-authored with Sang-Ho Lee). *Managerial and Decision Economics* 2023.
- (24). Competition mode and common ownership in a mixed oligopoly (co-authored with Yidan Zhang and Toshihiro Matsumura). *Manchester School* 2023.
- (25). Strategic corporate social responsibility and partial privatization policy with foreign penetration (co-authored with Fanrui Su and Sang-Ho Lee). *Japanese Economic Review* 2023.
- (26). Research on benefit distribution of “chain and chain” alliance of “port before factory” (co-authored with Xiaoling Huang, Yinyin Jia and Jinping Liu). *Journal of Systems & Management (In Chinese)* 2023.
- (27). Government subsidies, enterprise innovation models and export complexity (co-authored with Shu Guan and Liwei Cheng). *Science Research Management (In Chinese)* 2023.
- (28). Emission tax and strategic environmental corporate social responsibility in a Cournot–Bertrand comparison (co-authored with Yuyan Chen and Sang-Ho Lee). *Energy Economics* 2022.
- (29). High-speed rail network structural characteristics and evolution in China (co-authored with Fanrui Su, Jie Zhang and Na Zhang) *Mathematics* 2022.
- (30). Welfare-reducing price competition under relative performance delegation with convex costs (co-authored with Toshihiro Matsumura) *Economic Modelling* 2022.
- (31). Non-cooperative and cooperative environmental corporate social responsibility with emission taxes (co-authored with Sang-Ho Lee). *Managerial and Decision Economics* 2022.
- (32). Corporate profit tax and strategic corporate social responsibility under foreign acquisition (co-authored with Sang-Ho Lee). *B.E. Journal of Theoretical Economics* 2022.
- (33). Strategic corporate social responsibility by a multinational firm and international privatization policies (co-authored with Sang-Ho Lee). *Journal of Economic Theory and Econometrics* 2021.
- (34). Strategic corporate social responsibility of high-speed rail in China (co-authored with Xinying Fan and Weixin Luan). *China Economic Review* 2020.
- (35). Global emission taxes and port privatization policies under international competition (co-authored with Feng Pian, Yuyan Chen, and Sang-Ho Lee). *Sustainability* 2020.
- (36). Tariffs and privatization policy in a bilateral trade with corporate social responsibility (co-authored with Sang-Ho Lee). *Economic Modelling* 2019.
- (37). Corporate social responsibility and environmental taxation with endogenous entry

- (co-authored with Sang-Ho Lee). *Hitotsubashi Journal of Economics* 2018.
- (38). Environmental policies with excess burden of taxation in free-entry mixed markets (co-authored with Sang-Ho Lee). *International Review of Economics and Finance* 2018.
- (39). Endogenous timing in private and mixed duopolies with emission taxes (co-authored with Sang-Ho Lee). *Journal of Economics* 2018.
- (40). Ex-ante versus ex-post privatization policies with foreign penetration in free-entry mixed markets (co-authored with Sang-Ho Lee and Toshihiro Matsumura). *International Review of Economics and Finance* 2017.
- (41). Emission tax, environment and welfare in mixed duopoly markets: comparing quantity and price competitions (co-authored with Sumi Cho and Sang-Ho Lee). *Environmental and Resource Economics Review* 2016.
- (42). Free trade agreements and privatization policy with an excess burden of taxation (co-authored with Sang-Ho Lee and Leonard F.S. Wang). *Japan and the World Economy* 2016.
- (43). Emission tax, environment and welfare in mixed duopoly markets: Comparing quantity and price competitions (co-authored with Sumo Cho and Sang-Ho Lee). *Environmental and Resource Economics Review* 2016.
- (44). Strategic privatization with tariffs and environmental taxes in an international mixed duopoly (co-authored with Sang-Ho Lee). *Hitotsubashi Journal of Economics* 2015.
- (45). Competitive privatization and tariff policies in an international mixed duopoly (co-authored with Sang-Ho Lee and Zhao Chen). *Manchester School* 2013.

### **3. Books**

- (1). Strategic trade and privatization policies in bilateral mixed markets (co-authored with Sang-Ho Lee and Leonard Wang) *Firms' Strategic Decisions: Theoretical and Empirical Findings* 2018.